

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

(Please print or type)

**Name of Customer:** Michael E. Thau

**Mailing Address:** c/o Redstone Accounting Services, Inc., 25 Sylvan Rd. South - Building B

**City:** Westport **State:** CT **Zip:** 06880

**Account No:** 1ZB275

**Taxpayer I.D. Number (Social Security No.):** Redacted

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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1. Claim for money balances as of **December 11, 2008:**

a. The Broker owes me a Credit (Cr.) Balance of \$ 0

b. I owe the Broker a Debit (Dr.) Balance of \$ 0

c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ N/A

d. If balance is zero, insert "None." None

2. Claim for securities as of **December 11, 2008:**

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | YES               | NO                |
|---|-------------------|-------------------|
| a. The Broker owes me securities        | <u>✓</u>          | <u>          </u> |
| b. I owe the Broker securities          | <u>          </u> | <u>✓</u>          |
| c. If yes to either, please list below: |                   |                   |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)

See Nov. 30, 2008 1ZB275 statements, attached hereto as Exhibit A.

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\$ 590,058.98 (market value of securities long, per 1ZB275-3 statement)

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\$ 19,800.00 (market value of securities long, per 1ZB275-4 statement)

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(\$ 27,960.00) (market value of securities short, per 1ZB275-4 statement)

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TOTAL: \$ 581,898.98

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**Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.**

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

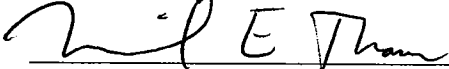
	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s).	_____	_____✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✓
9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.	_____	_____✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Matthew A. Kupillas, Esq., Milberg LLP, One Pennsylvania Plaza, New York, NY 10119

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date June 4 / 2009 Signature   
Michael E. Thau

Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,  
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

# **EXHIBIT A**

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**MICHAEL E THAU**

C/O REDSTONE ACCTING SERV INC  
PO BOX 5106  
WESTPORT CT 06881

Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

PERIOD ENDING  
**11/30/08**

YOUR ACCOUNT NUMBER  
**1-ZB275-3-0**

TAX ID  
**1**

YOUR TAX PAYER IDENTIFICATION NUMBER  
**\*\*\*\*\*9488**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/05				BALANCE FORWARD		33,695.41	
11/05				CHECK FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/05/08	100 DIV	4,000.00	
11/05				FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		
11/05	46,586		35776	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	46,586.00	
11/12	504		1412	WELLS FARGO & CO NEW	29.800	15,039.20	
11/12	360		2914	HENRETT PACKARD CO	34.900	12,578.00	
11/12	312		5738	WAL-MART STORES INC	55.830	17,430.96	
11/12	204		6240	INTERNATIONAL BUSINESS MACHS	87.270	17,811.08	
11/12	756		10064	EXXON MOBIL CORP	72.880	55,127.28	
11/12	828		10566	INTEL CORP	14.510	12,047.28	
11/12	396		14892	JOHNSON & JOHNSON	59.580	23,608.68	
11/12	540		19217	J.P. MORGAN CHASE & CO	38.530	20,827.20	
11/12	288		23543	COCA COLA CO	44.660	12,873.08	
11/12	168		27869	MCDONALDS CORP	55.370	9,308.16	
11/12	312		32195	MERCK & CO	28.550	8,919.60	
11/12	1,140		36521	MICROSOFT CORP	21.810	24,908.40	
11/12	576		40847	ORACLE CORPORATION	17.300	9,987.80	
11/12	228		53825	PEPSICO INC	56.410	12,870.48	
11/12	132		54327	APPLE INC	100.780	13,307.96	
				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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INVESTMENT SECURITIES LLC  
New York □ London

MICHAEL E THAU

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PAGE  
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DATE	BOUGHT RECEIVED/ON LONG	ISO CURRENCY/SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED FOR YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	972		58151	PFIZER INC	16.940	16,503.68	
11/12	228		58653	ABBOTT LABORATORIES	54.610	12,460.08	
11/12	432		62477	PROCTER & GAMBLE CO	64.080	27,699.56	
11/12	356		62979	AMGEN INC	59.160	9,234.96	
11/12	300		66803	PHILLIP MORRIS INTERNATIONAL	43.600	13,092.00	
11/12	720		67305	BANK OF AMERICA	21.590	15,572.80	
11/12	240		71129	QUALCOMM INC	83.770	8,113.80	
11/12	780		71631	CITI GROUP INC	12.510	9,788.80	
11/12	180		75455	SCHLUMBERGER LTD	49.480	8,913.40	
11/12	432		75957	COMCAST CORP	16.510	7,149.32	
11/12	852		79781	AT&T INC	27.110	23,038.00	
11/12	216		80283	CONOCOPHILIPS	52.510	11,350.16	
11/12	144		84107	UNITED PARCEL SVC INC	52.040	7,498.76	
11/12	876		84609	CLASS B	16.730	14,890.48	
11/12	252		88433	U S BANCORP	29.530	7,451.56	
11/12	300		88935	CHEVRON CORP	73.430	22,041.00	
11/12	144		92759	UNITED TECHNOLOGIES CORP	53.160	7,660.04	
11/12	1,524		93261	GENERAL ELECTRIC CO	19.630	29,976.12	
11/12	408		97085	VERIZON COMMUNICATIONS	30.410	12,423.28	
11/12	36		97587	GOOGLE	337.400	12,147.40	
11/12		525,000	24018	U S TREASURY BILL	99.936		524,604.00
11/12				DUE 2/12/2009			
11/12				CONTINUED ON PAGE 3			

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

MICHAEL E THAU

C/O REDSTONE ACTING SERV INC  
PO BOX 5106  
WESTPORT CT 06881

PERIOD ENDING: 11/30/08  
YOUR ACCOUNT NUMBER: 1-ZB275-3-0  
YOUR TAXPAYER IDENTIFICATION NUMBER: \*\*\*\*\*9488  
PAGE: 3

DATE	BOUGHT RECEIVED OR LONG	BOUGHT DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE PER SHARE TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT DEBITED TO YOUR ACCOUNT
11/12				FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV	5.88	
11/12		46,586	19122	DIV 11/12/08 FIDELITY SPARTAN	1		
11/12	25,382		28490	U S TREASURY MONEY MARKET FIDELITY SPARTAN	1	25,382.00	
11/19				U S TREASURY MONEY MARKET FIDELITY SPARTAN	DIV		5.12
11/19		25,382	54025	DIV 11/19/08 FIDELITY SPARTAN	1		
11/19	50,000		58459	U S TREASURY MONEY MARKET U S TREASURY BILL	99.926	49,963.00	
11/19				DUE 03/26/2009 FIDELITY SPARTAN	1		
11/19	11,374		63062	U S TREASURY MONEY MARKET NEW BALANCE		11,374.00	
				SECURITY POSITIONS		67,223.82	
	852			AT&T INC	MKT PRICE		
	228			ABBOTT LABORATORIES	28.560		
	156			AMGEN INC	50.390		
	132			APPLE INC	55.540		
				CONTINUED ON PAGE 4	92.670		

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YOUR PAPER IDENTIFICATION NUMBER: \*\*\*\*\*9488  
PAGE: 4

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TERM	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	720			BANK OF AMERICA	16.250		
	300			CHEVRON CORP	79.010		
	876			CISCO SYSTEMS INC	16.540		
	780			CITI GROUP INC	18.290		
	288			COCA COLA CO	46.870		
	432			CONCAST CORP	17.340		
	216			GL A	52.520		
	756			CONCOPHILIPS	80.150		
	1,524			EXXON MOBIL CORP	17.170		
	36			GENERAL ELECTRIC CO	92.960		
	360			GOOGLE	35.280		
	828			HEWLETT PACKARD CO	13.800		
	204			INTEL CORP	81.600		
	540			INTERNATIONAL BUSINESS MACHS	31.660		
	396			J.P. MORGAN CHASE & CO	58.580		
	168			JOHNSON & JOHNSON	58.750		
	312			MCDONALDS CORP	26.720		
	1,140			MERCK & CO	20.220		
	576			MICROSOFT CORP	16.090		
	228			ORACLE CORPORATION	56.700		
	972			PEPSICO INC	16.430		
	300			PFIZER INC	42.160		
	432			PHILLIP MORRIS INTERNATIONAL	64.350		
	240			PROCTER & GAMBLE CO	33.570		
				QUALCOMM INC			
				CONTINUED ON PAGE 5			

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PERIOD ENDING 11/30/08  
YOUR ACCOUNT NUMBER 1-78275-3-0  
PAGE 5  
YOUR TAX-PAYER IDENTIFICATION NUMBER \*\*\*\*\*9488

DATE	BOUGHT RECEIVED ON LONG	BOUGHT RECEIVED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	180			SCHLUMBERGER LTD	50.740		
	11,374			FIDELITY SPARTAN	1		
	252			U S TREASURY MONEY MARKET	26.980		
	144			U S BANCORP	57.600		
	50,000			UNITED PARCEL SVC INC	99.971		
	144			CLASS B			
	508			U S TREASURY BILL	48.530		
	512			3/26/2009	32.650		
	504			UNITED TECHNOLOGIES CORP	55.880		
				VERIZON COMMUNICATIONS	28.890		
				WAL-MART STORES INC			
				WELLS FARGO & CO NEW			
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				590,058.98			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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ASACUS SECURITIES INTERNATIONAL LTD.  
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**MICHAEL E THAU**

**C/O REDSTONE ACCTING SERV INC**  
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PERIOD ENDING  
**11/30/08**

PAGE  
**1**

YOUR ACCOUNT NUMBER  
**1-ZB275-4-0**

YOUR TAX IDENTIFICATION NUMBER  
**\*\*\*\*\*9488**

08-0177 Cg 2676-2 Filed 11/10/09 Entered 11/10/09 14:37:42 Exhibit B -  
Customer Claim Pg 12 of 33

DATE	BOUGHT REGULAR/LONG	SOLD DECEASED/SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12		12	45173	BALANCE FORWARD			33,696.00
11/12	12		49499	S & P 100 INDEX NOVEMBER 420 CALL	15.800	21,372.00	18,948.00
11/19		12	35603	S & P 100 INDEX NOVEMBER 450 PUT	17.800		31,188.00
11/19	12		39928	S & P 100 INDEX DECEMBER 430 CALL	30	36,012.00	
11/19	12		4253	S & P 100 INDEX DECEMBER 420 PUT	37	37,612.00	44,368.00
11/19		12	48578	S & P 100 INDEX NOVEMBER 460 CALL			67,222.00
				NEW BALANCE			
				SECURITY POSITIONS			
		12		S & P 100 INDEX	MKT PRICE 23.300		
	12			DECEMBER 430 CALL			
				S & P 100 INDEX	16.500		
				DECEMBER 420 PUT			
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
							27,960.00-
							19,800.00

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

# **EXHIBIT B**

**EXHIBIT B**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on the information provided in the Claimant's latest Madoff account statement and any additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law and equity.
4. The Claimant hereby requests that the Claim Form be considered as a proof of claim in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by the applicable law, the Claimant does not consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.
8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.

Claimant: *Michael E. Thau (Account no. 1ZB275)*

10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. During the lifetime of the account, the Claimant may have contributed and/or withdrawn sums of money. The Claimant's final account balance set forth in Exhibit A takes into account any such contributions and/or withdrawals. Claimant continues to search for additional documents relating to such contributions or withdrawals and reserves the right to supplement this submission if additional documents are found.
13. The Claimant submits herewith documents in support of the Claimant's claim. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents if deemed necessary. Below is a list of the documents submitted herewith:

**2008**

- BMIS account statements for time period ending 11/30/08.
- BMIS account statements for time period ending 10/31/08.
- BMIS account statements for time period ending 09/30/08.

**DOCUMENTS SUBMITTED  
WITH THE CLAIM OF  
MICHAEL E. THAU  
(Account no. 1ZB275)**



Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
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New York □ London

**MICHAEL E THAU**

**C/O REDSTONE ACCTING SERV INC**  
PO BOX 5106  
WESTPORT CT 06881

PERIOD ENDING **9/30/08** PAGE **1**

YOUR ACCOUNT NUMBER **1-ZB275-3-0** YOUR TAX PAYER IDENTIFICATION NUMBER **\*\*\*\*\*9488**

DATE	BOUGHT (RECEIVED OR LONG)	SOLD (DELIVERED OR SHORT)	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/02				BALANCE FORWARD		12,896.90	
9/05				WAL-MART STORES INC DIV 8/15/08 9/02/08	DIV		61.99
9/05				CHECK		4,000.00	
9/05				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 09/05/08	CW DIV		2.10
9/05		2,416	70951	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		✓ 2,416.00
9/05		50,000	70962	U S TREASURY BILL DUE 10/16/2008	90-829		49,914.50
9/05	48,332		70978	FIDELITY SPARTAN U S TREASURY MONEY MARKET JOHNSON & JOHNSON	1	48,332.00	
9/09				DIV 8/26/08 9/09/08 UNITED PARCEL SVC INC CLASS B	DIV		144.90
9/10				DIV 8/25/08 9/09/08 FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV		52.65
9/10				DIV 09/10/08 CHEVRON CORP	DIV		9.44
9/10				DIV 8/19/08 9/10/08	DIV		146.25
				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

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PO BOX 5106  
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YOUR ACCOUNT NUMBER  
**1-ZB275-3-0**

PERIOD ENDING  
**9/30/08**

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**2**

YOUR TAX RETURN IDENTIFICATION NUMBER  
**\*\*\*\*\*9488**

DATE	BOUGHT RECEIVED/DRUGING	SOLD DELIVERED/SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/10							
9/10		48,332	84806	UNITED TECHNOLOGIES CORP DIV 8/15/08 9/10/08	DIV		34.56
9/10	47,388		89904	FIDELITY SPARTAN U S TREASURY MONEY MARKET FIDELITY SPARTAN	1		48,332.00
9/11				U S TREASURY MONEY MARKET	1	47,388.00	
9/11	4,581		95072	MICROSOFT CORP DIV 8/21/08 9/11/08 FIDELITY SPARTAN	DIV		98.01
9/16				U S TREASURY MONEY MARKET	1	4,581.00	
9/17		441	11063	MCDONALDS CORP DIV 9/02/08 9/16/08	DIV		47.25
9/17		99	12258	ORACLE CORPORATION			8,498.71
9/17		180	15361	APPLE INC	19-310		14,923.23
9/17		171	16556	PEPSICO INC	150-770		13,035.80
9/17		756	19659	ABBOTT LABORATORIES	72-660		10,076.16
9/17		297	20818	PFIZER INC	58-968		13,850.16
9/17		342	23957	AMERICAN INTL GROUP INC	18-360		4,530.13
9/17		126	25103	PROCTER & GAMBLE CO	15-290		24,877.76
9/17		234	28255	AMGEN INC	72-780		7,973.32
9/17		531	29401	PHILLIP MORRIS INTERNATIONAL	63-320		12,666.78
9/17		180	32553	BANK OF AMERICA	54-170		17,523.24
9/17		621	33699	QUALCOMM INC	33-040		8,703.20
9/17		135	36851	CITI GROUP INC	48-390		11,290.62
				SCHLUMBERGER LTD	18-220		71,768.35
				CONTINUED ON PAGE 3	87-210		

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BERNARD L. MADOFF  
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MICHAEL E THAU

C/O REDSTONE ACCTING SERV INC  
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 WESTPORT CT 06881

YOUR ACCOUNT NUMBER  
 1-ZB275-3-0

9/30/08

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DATE	BOUGHT RECEIVED FOR LONG	BOUGHT RECEIVED FOR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/17	171		37997	CONDOPHILIPS	72.510		12,393.21
9/17	684		41149	AT&T INC	31.370		21,430.08
9/17	666		42295	CISCO SYSTEMS INC	23.050		15,325.30
	117		45428	UNITED PARCEL SVC INC	67.860		7,935.62
9/17	225		46593	CLASS B			
9/17	108		49674	CHEVRON CORP	83.210		18,713.25
9/17	17125		50891	UNITED TECHNOLOGIES CORP	63.720		6,877.76
9/17	324		53966	GENERAL ELECTRIC CO	27.440		30,825.00
9/17	27		55189	VERIZON COMMUNICATIONS	34.570		11,188.68
9/17	387		58264	GOOGLE	432.200		11,668.40
9/17	45		59487	WELLS FARGO & CO NEW	33.390		12,906.93
9/17	261		62562	GOLDMAN SACHS GROUP INC	154.700		6,960.50
9/17	279		63785	WAL-MART STORES INC	62.470		16,294.67
9/17	585		66860	HEWLETT PACKARD CO	46.880		13,068.52
9/17	193		68083	EXXON MOBIL CORP	76.080		44,483.80
9/17	648		72381	INTERNATIONAL BUSINESS MACHS	188.800		18,170.40
9/17	315		76679	INTEL CORP	19.970		12,915.56
9/17	396		80976	JOHNSON & JOHNSON	71.150		22,400.25
9/17	225		85274	J.P. MORGAN CHASE & CO	40.930		16,193.28
9/17	126		89572	GOCA COLA CO	53.790		17,093.75
9/17	243		93870	MCDONALDS CORP	64.430		8,113.18
9/17	891		98168	MERCK & CO	34.160		8,291.88
9/17	525,000		85378	MICROSOFT CORP	27.420		24,396.22
				U S TREASURY BILL	99.511		
				DUE 2/12/2009		522,432.75	
				2/12/2009			
				CONTINUED ON PAGE 4			

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YOUR ACCOUNT NUMBER <b>1-ZB275-3-0</b>	PERIOD ENDING <b>9/30/08</b>	PAGE <b>4</b>
YOUR TAX PAYER IDENTIFICATION NUMBER <b>*****9488</b>		

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/17	7,103		89676	FIDELITY SPARTAN	1	7,103.00	
9/19				U S TREASURY MONEY MARKET			
9/26				AMERICAN INTL GROUP INC	DIV		65.34
9/26				DIV 9/05/08 9/19/08			
9/30				BANK OF AMERICA	DIV		339.84
9/30				DIV 9/05/08 9/26/08			
9/30				QUALCOMM INC	DIV		28.80
9/30				DIV 8/29/08 9/26/08			
9/30				PEPSICO INC	DIV		76.50
9/30	1,096		97005	DIV 9/05/08 9/30/08			
				FIDELITY SPARTAN	1	1,096.00	
				U S TREASURY MONEY MARKET			
				NEW BALANCE			
				SECURITY POSITIONS		33,695.82	
	60,168			FIDELITY SPARTAN	MKT PRICE		
	525,000			U S TREASURY MONEY MARKET	1		
				U S TREASURY BILL	99.610		
				DUE 2/12/2009			
				2/12/2009			
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				583,120.50			

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9/30/08  
PERIOD ENDING

8846 \*\*\*  
YOUR TAXPAYER IDENTIFICATION NUMBER

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PERIOD ENDING **9/30/08** PAGE **1**

YOUR ACCOUNT NUMBER **1-ZB275-4-0** YOUR PATENT IDENTIFICATION NUMBER **\*\*\*\*\*9488**

DATE	BOUGHT RECEIVED/DELONG	SOLD DELIVERED/SHORT	CERN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/10	9		74938	BALANCE FORWARD			12,897.00
9/11			79463	S & P 100 INDEX SEPTEMBER 600 CALL	1.050	954.00	
9/17			2467	S & P 100 INDEX SEPTEMBER 600 CALL	5.100		✓ 4,581.00
9/17	9		6765	S & P 100 INDEX SEPTEMBER 590 PUT	20		✓ 17,991.00
				S & P 100 INDEX SEPTEMBER 600 CALL	•900	819.00	✓
				NEW BALANCE			33,696.00

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PERIOD ENDING: 10/31/08  
YOUR TAXPAYER IDENTIFICATION NUMBER: \*\*\*\*\*9488  
YOUR ACCOUNT NUMBER: 1-ZB275-3-0  
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Customer Claim Pg 3 of 33

Exhibit B -

DATE	BOUGHT RECEIVED OR LONG	SAID DELIVERED OR SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
10/01				BALANCE FORWARD		33,695.82	
10/01				CHECK FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 10/01/08	CM DIV	6,000.00	36.00
10/01				COCA COLA CO DIV 9/15/08 10/01/08	DIV		85.00
10/01				HEWLETT PACKARD CO DIV 9/10/08 10/01/08	DIV		22.00
10/01				MERCK & CO DIV 9/05/08 10/01/08	DIV		82.00
10/01		60,168	98884	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	54,204.00	60,168.00
10/01	54,204		99306	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	54,204.00	
10/03				SCHLUMBERGER LTD DIV 9/03/08 10/03/08	DIV		
10/06				CHECK FIDELITY SPARTAN U S TREASURY MONEY MARKET	CM DIV	4,000.00	
10/06		54,204	507	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	54,204.00	54,204.00
10/06	50,208		1057	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	50,208.00	
				CONTINUED ON PAGE 2			

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10/31/08  
1-ZB275-3-0  
\*\*\*\*\*9488  
PAGE 2

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TURN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
10/10							
10/31	355		10300	PHILLIP MORRIS INTERNATIONAL DIV 9/15/08 10/10/08 FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV 1	355.00	126.36
				NEW BALANCE			
	50,563			SECURITY POSITIONS FIDELITY SPARTAN	MKT PRICE 1	33,695.41	
	525,000			U S TREASURY MONEY MARKET U S TREASURY BILL DUE 2/12/2009	99.875		
				2/12/2009			
				MARKET VALUE OF SECURITIES LONG			
				574,927.75			
				SHORT			

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PERIOD: ENDED  
10/31/01

YOUR TAXPAYER IDENTIFICATION NUMBER  
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08-01789-0gm

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Exhibit B -

## Customer Claim

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DATE	BOUGHT PAID FOR LONG	SOLD DELIVERED SHORT	TEN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY			
				DIVIDENDS			\$7,099.83
				GROSS PROCEEDS FROM SALES			37,428,781.56

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Customer Claim

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Exhibit B -

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD			33,696.00
				NEW BALANCE			33,696.00

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PERIOD ENDING 11/30/08  
YOUR ACCOUNT NUMBER 1-ZB275-3-0  
YOUR TAX PAYER IDENTIFICATION NUMBER \*\*\*\*\*9488  
PAGE 1

DATE	BOUGHT RECEIVED/ON LONG	SOLD DELIVERED/ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/05				BALANCE FORWARD		33,695.41	
11/05				CHECK FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/05/08	CM DIV	4,000.00	
11/05		50,563	35726	FIDELITY SPARTAN U S TREASURY MONEY MARKET			50,563.00
11/05	46,586		35776	FIDELITY SPARTAN U S TREASURY MONEY MARKET		46,586.00	
11/12	504		1412	WELLS FARGO & CO NEW HEWLETT-PACKARD CO	29.800 34.900	15,039.20 12,578.00	
11/12	360		1914	WAL-MART STORES INC	55.830	17,430.96	
11/12	312		5738	INTERNATIONAL BUSINESS MACHS	87.270	17,811.08	
11/12	204		6240	EXXON MOBIL CORP	72.800	55,127.28	
11/12	756		10064	INTEL CORP	14.510	12,047.28	
11/12	828		10566	JOHNSON & JOHNSON	59.580	23,608.68	
11/12	396		14892	J.P. MORGAN CHASE & CO	38.530	20,827.20	
11/12	540		19217	COCA COLA CO	44.660	12,873.08	
11/12	288		23543	MCDONALDS CORP	55.370	9,308.16	
11/12	168		27869	MERCK & CO	28.550	8,919.60	
11/12	312		32195	MICROSOFT CORP	21.810	24,908.40	
11/12	1,140		36521	ORACLE CORPORATION	17.300	9,987.80	
11/12	576		40847	PEPSICO INC	56.410	12,870.48	
11/12	228		53825	APPLE INC	100.780	13,307.96	
11/12	132		54327	CONTINUED ON PAGE 2			

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PERIOD ENDING  
**11/30/08**

PAGE  
**2**

DATE	BOUGHT RECEIVED OR LONG	GOOD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	972		58151	PFIZER INC	16.940	16,503.68	
11/12	228		58653	ABBOTT LABORATORIES	54.610	12,460.08	
11/12	432		62477	PROCTER & GAMBLE CO	64.080	27,699.56	
11/12	156		62979	AMGEN INC	59.160	9,234.96	
11/12	300		66803	PHILLIP MORRIS INTERNATIONAL	43.600	13,092.00	
11/12	720		67305	BANK OF AMERICA	21.590	15,572.80	
11/12	240		71129	QUALCOMM INC	83.770	8,113.80	
11/12	780		71631	CITI GROUP INC	12.510	9,788.80	
11/12	180		75455	SCHLUMBERGER LTD	49.480	8,913.40	
11/12	432		75957	COMCAST CORP	16.510	7,149.32	
11/12	852		79781	GL A	27	23,638.00	
11/12	216		80283	CONOCOPHILIPS	52.510	11,350.16	
11/12	144		84107	UNITED PARCEL SVC INC	52.040	7,498.76	
11/12	876		84609	CLASS B	16.730	14,690.48	
11/12	252		88433	CISCO SYSTEMS INC	29.530	7,451.56	
11/12	300		88935	U S BANCORP	73.430	22,041.00	
11/12	144		92759	CHEVRON CORP	53.160	7,660.04	
11/12	1,524		93261	UNITED TECHNOLOGIES CORP	19.630	29,976.12	
11/12	408		97085	GENERAL ELECTRIC CO	30.410	12,423.28	
11/12	36		97587	VERIZON COMMUNICATIONS	337.400	12,147.40	
11/12		525,000	24018	GOOGLE	99.936		524,664.00
11/12				U S TREASURY BILL			
11/12				DUE 2/12/2009			
11/12				2/12/2009			
11/12				CONTINUED ON PAGE 3			

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DATE	BOUGHT RECEIVED OR LONG	QUANTITY DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SWING TO YOUR ACCOUNT	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV		5.88
11/12		46,586	19122	DIV 11/12/08 FIDELITY SPARTAN	1		46,586.00
11/12	25,382		28490	U S TREASURY MONEY MARKET	1	25,382.00	
11/19				FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV		3.12
11/19				DIV 11/19/08 FIDELITY SPARTAN	1		25,382.00
11/19	50,000		58459	U S TREASURY MONEY MARKET	99.926	49,963.00	
11/19				DUE 03/26/2009 FIDELITY SPARTAN	1	11,374.00	
				U S TREASURY MONEY MARKET			
				NEW BALANCE			
				SECURITY POSITIONS	MKT PRICE	67,223.82	
	852			AT&T INC	28.560		
	228			ABBOTT LABORATORIES	52.390		
	156			AMGEN INC	55.540		
	132			APPLE INC	92.670		
				CONTINUED ON PAGE 4			

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PAGE 4

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	720			BANK OF AMERICA	16.250		
	300			CHEVRON CORP	79.010		
	876			CISCO SYSTEMS INC	16.540		
	780			CITI GROUP INC	8.290		
	288			COCA COLA CO	46.870		
	432			COMCAST CORP	17.340		
				CL A			
	216			CONOCOPHILIPS	52.520		
	756			EXXON MOBIL CORP	80.150		
	1,524			GENERAL ELECTRIC CO	17.170		
	36			GOOGLE	22.560		
	360			HEWLETT PACKARD CO	55.280		
	828			INTEL CORP	13.800		
	204			INTERNATIONAL BUSINESS MACHS	81.600		
	540			J.P. MORGAN CHASE & CO	31.660		
	396			JOHNSON & JOHNSON	58.580		
	168			MCDONALDS CORP	58.750		
	312			MERCK & CO	26.720		
	1,140			MICROSOFT CORP	20.220		
	576			ORACLE CORPORATION	16.090		
	228			PEPSICO INC	56.700		
	972			PFIZER INC	16.430		
	300			PHILLIP MORRIS INTERNATIONAL	42.160		
	432			PROCTER & GAMBLE CO	64.350		
	240			QUALCOMM INC	33.570		
				CONTINUED ON PAGE 5			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



Affiliated with  
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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

**MICHAEL E THAU**

C/O REDSTONE ACCTING SERV INC  
PO BOX 5106  
WESTPORT CT 06881

YOUR ACCOUNT NUMBER  
1-ZB275-3-0

PERIOD ENDING  
11/30/08

PAGE  
5

YOUR TAX IDENTIFICATION NUMBER  
\*\*\*\*\*9488

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	180			SCHLUMBERGER LTD	50.740		
	11,374			FIDELITY SPARIAN	1		
	252			U S TREASURY MONEY MARKET	26.980		
	144			U S BANCORP	57.600		
	50,000			UNITED PARCEL SVC INC	99.971		
	144			U S TREASURY BILL	48.530		
	508			3/26/2009	32.650		
	312			UNITED TECHNOLOGIES CORP	55.880		
	504			VERIZON COMMUNICATIONS	28.890		
				WAL-MART STORES INC			
				WELLS FARGO & CO NEW			
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				590,058.98			

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PAGE 1

PERIOD ENDING 11/30/08

YOUR TAXPAYER IDENTIFICATION NUMBER \*\*\*\*\*9488

YOUR ACCOUNT NUMBER 1-ZB275-4-0

DATE	BOUGHT RECEIVED/ON LONG	SOLD DELIVERED/ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			33,696.00
11/12	12		45173	S & P 100 INDEX NOVEMBER 460 CALL	15.800		18,948.00
11/12	12		49499	S & P 100 INDEX NOVEMBER 450 PUT	17.800	21,372.00	
11/19		12	35603	S & P 100 INDEX DECEMBER 430 CALL	28		31,188.00
11/19	12		39928	S & P 100 INDEX DECEMBER 420 PUT	30	36,012.00	
11/19	12		44251	S & P 100 INDEX NOVEMBER 460 CALL	3	5,612.00	
11/19		12	48578	S & P 100 INDEX NOVEMBER 450 PUT	37		44,336.00
				NEW BALANCE			67,226.00
				SECURITY POSITIONS			
		12		S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
	12			S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			19,800.00
				SHORT			27,960.00

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